

05/28/99

JJC559 U.S. PTO

Express No. EL 199 138 645 US

236/238

Hon. Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

## **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of:

**Inventor:** SHIGERU TANAKA and GARY ASHLEY STAFFORD  
**For:** SPECIALLY SHAPED BALLOON DEVICE FOR USE IN SURGERY  
AND METHOD OF USE

Enclosed are:

26 pgs. Spec.; 8 pgs claims; 1 pg. abstract

7 sheets of drawings ( Informal  Formal)

Declaration and Power of Attorney

Assignment of the invention to: \_\_\_\_\_, and an Assignment Cover Sheet

A Verified Statement Claiming Small Entity Status under 37 CFR §§ 1.9 and 1.27.

The filing fee has been calculated as shown below:

-Total Claims	42	(-20 <u>22</u> x \$18)	\$
-Independent Claims	5	(-3 <u>2</u> x \$78)	\$
-Multiple Dependent Claim(s)		(\$260)	\$
-Statutory Basic Filing Fee		(\$760)	\$
-Surcharge 37 CFR 1.16(e)		(\$130)	\$
<b>TOTAL OF ABOVE CALCULATIONS .....</b>			\$
-Reduction for Small Entity (1/2)		\$	\$
-Assignment		(\$40)	\$
<b>TOTAL FEES SUBMITTED HEREWITH .....</b>			\$

This application is filed without fee or declaration pursuant to 37 CFR 1.53.

A check in the amount of \$----- to cover the above fees is enclosed.

The Commissioner is hereby authorized to charge payment of the fees associated with this communication, or credit any overpayment, to Deposit Account 12-2475.

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236/238

"Express Mail" mailing label number: EL 199 138 645 US

Date of Deposit: July 28, 1999

I hereby certify that the attached patent application, including the: **New Application Transmittal, Specification (26 pgs. spec., 8 pgs. claims; 1 pg. abstract), 7 sheets of informal drawings [[filed without fee or dec. per 37 CFR 1.53]**

for SHIGERU TANAKA and GARY ASHLEY STAFFORD , Lyon & Lyon Docket  
236/238, is being deposited with the United States Postal Service "Express Mail Post  
Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is  
addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Rick Moreno

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